

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:
Stephen K. Boyer and Alex Miller

Filed: April 28, 2000



Title: Method, Computer Program Product, And System For Determining
Potential Licensees Of A Patent Portfolio

INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION
(37 CFR 1.97(b))

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.98, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date of Deposit: April 28, 2000Person mailing paper/fee: Dolores McKay

Respectfully submitted,
Stephen K. Boyer, et al.,

By: Timothy M. Farrell
Timothy M. Farrell, (Reg #37,321)
Attorney for Applicants
IBM Corporation/IP Law
555 Bailey Avenue (J46/G462)
San Jose, CA 95141-1003
(408) 463-5671

Signature: Dolores McKay

Form PTO 1449 U.S. Dept of Commerce
Rev 7-80 Patent & Trademark Off.

: Atty. Docket No. : Serial No.
: STL9-2000-0029US1 : N/A
: Applicant(s)
: Stephen K. Boyer, et al.
: File Date : Group
: April 28, 2000 : N/A

LIST OF PRIOR ART CITED BY APPLICANT(S)
(Use several sheets if necessary)

U.S. PTO
09/560397
04/28/00

U.S. PATENT DOCUMENTS

EXAM.:	DOCUMENT	CLASS/	FILING
INTL.:	NUMBER	DATE	NAME
		DATE	
<i>Imw</i> :AA:	5,418,946	05/23/95	Mori
<i>Imw</i> :AB:	5,754,840	05/19/98	Rivette et al.
<i>Imw</i> :AC:	5,999,907	12/07/99	Donner

FOREIGN COUNTRIES

:	DOC. NO.	DATE	COUNTRY	INT.CLASS./	TRANSLATION
:	:	:	:	:	YES : NO
<i>Imw</i> :BA:	WO96/06402	02/29/96	PCT	G06F 17/60	N/A

OTHER PRIOR ART (INCLUDE. AUTHOR, TITLE, DATE, PERTINENT PG., ETC.)

Imw:CA: 1993 Canadian Conference on Electrical and Computer Engineering, Edited by Vijay K. Bhargava, "Intelligent Subject Matter Classification and Retrieval," Volume I, Vancouver, September 14-17, 1993, Pages 15-18.

Imw:CB: Aurigin (Original Ideas Are Gold), Aureka 7.0, "The Open Platform for Intellectual Property Asset Management," 1999, Aureka-Cite Module.

Imw:CC: Michael Hehenberger, Ph.D., and Pascal Coupet, "Text Mining Applied to Patent Analysis," <http://www-3.ibm.com/solutions/businessintelligence>, 1998, Pages 1-13.

Imw:CD: CHI Research, Inc. (Tracking the World's Technology), "Technology Analysis and Competitive Intelligence Services," <http://www.chiresearch.com/techtrack.htm>, April 26, 2000, Pages 1-4.

Imw:CE: Robert Buderer, Technology Review, "Companies Squeeze the Patent Pipeline," <http://www.techreview.com/articles/ma00/buderer.htm>, April 26, 2000, Pages 1-7.

Imw:CF: Technology Review, "Industry: Computers," <http://www.techreview.com/articles/ma00/scorecard1.php3>, April 26, 2000, Pages 1-2.

EXAMINER

DATE CONSIDERED

Imw: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).